How can we enhance HIV Pre Exposure Prophylaxis (PrEP) awareness and access?: Recommendation development from process evaluation of a national PrEP programme using implementation science tools.

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**ABSTRACT** 

Objectives: HIV Pre-Exposure Prophylaxis (PrEP) is a highly effective biomedical intervention for HIV prevention and

is key to HIV transmission elimination. However, implementation is challenging. We identified barriers and

facilitators to PrEP awareness and access during the roll out of Scotland's national PrEP programme to develop

recommendations for future provision.

Design: Multi-perspectival qualitative approach incorporating implementation science tools.

Setting: Sexual health services and sexual health/HIV community-based organisations (CBOs) in Scotland.

Participants: Semi-structured telephone interviews and focus groups with geographically and demographically

diverse patients seeking/using/declining/stopping PrEP (n=39), sexual healthcare professionals (n= 54), CBO users

(n=9) and staff (n=15).

Analysis: Using deductive thematic analysis we mapped barriers and facilitators to PrEP awareness and access. We

then applied the Theoretical Domains Framework, Behaviour Change Wheel, and Behaviour Change Technique

Taxonomy to analyse barriers and facilitators to generate targeted solutions. Finally, we applied APEASE criteria,

expert opinion, and the socio-ecological model to synthesise and present multi-levelled and interdependent

recommendations to enhance implementation.

Results: Barriers and facilitators were multifaceted, relating to the macrosocial (e.g., government, service ecology),

the mesosocial (e.g., values and practices of organisations and dynamics and norms of communities) and the

microsocial (peer influence). We derived 28 overarching recommendations including: incentivising organisations to

share expertise, addressing future generations of PrEP users, expanding the reach of PrEP services, cascading

effective service innovations, changing organisational cultures, instigating and managing novel outreach, establishing

monitoring systems, supporting diverse PrEP users, providing training addressing awareness and access to

professionals, and development of "PrEP champions" within a range of organisations.

Conclusion: Improving awareness and access to PrEP sustainably will require intervention across the whole system,

changing policy and practice, organisations and their cultures, communities and their social practices, and individuals

themselves. These evidence-based recommendations will prove useful in extending the reach of PrEP to all who

could benefit.

Keywords: HIV, PrEP, Implementation Science, Awareness, Access, Behaviour Change Wheel, Theoretical Domains

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Framework, Deductive Thematic Analysis, Socio-ecological Model, Qualitative

#### **Article Summary**

Strengths and limitations of this study

- We used novel methods and a rigorous study design to create auditable evidence-based and theoretically informed recommendations, moving beyond simple thematic analysis or sole use of expert opinion
- The recommendations are built upon multi-perspectival qualitative data from diverse stakeholders and varied expert opinions.
- Where meta-analyses or meta-syntheses of implementation studies are not available, we offer a structured,
   practical, evidence-based approach to generating recommendations.
- Limitations include the sole reliance on qualitative insights and our focus on a single national context (Scotland) in the early years of programme delivery.

How can we enhance HIV Pre Exposure Prophylaxis (PrEP) awareness and access?: Recommendation development from process evaluation of a national PrEP programme using implementation science tools.

**INTRODUCTION** 

Oral HIV pre-exposure prophylaxis (PrEP), involves taking antiretroviral medication to prevent HIV acquisition. PrEP has an established and increasingly important role in HIV prevention [1, 2] and will be a key component of HIV transmission elimination strategies. However, major challenges to its implementation remain.[3-5] There are inequities in PrEP roll out globally,[6] and uptake varies across minoritised populations such as men who have sex with men, women of colour, people who inject drugs, migrants and trans people.[6-9] International models of PrEP provision are diverse and include provision by HIV specialists, sexual health specialists, family medical practices, and outreach programs.[6]

Current evidence on PrEP implementation draws on a broad range of disciplinary lenses and takes disparate focal points.[10] Specific approaches to conceptualising PrEP implementation include Liu's PrEP cascade [11] the PrEP continuum of care [12] and the PrEP care continuum.[13] These conceptualisations, and associated literature, are intended to assist with framing, auditing and responding to PrEP implementation challenges. However, the mechanisms by which these high-level conceptualisations enhance future PrEP implementation remain opaque and they fail to provide detailed recommendations for action. Emerging literature, primarily from the USA, also highlights the multi-levelled nature of barriers and facilitators to PrEP implementation, such as individual, relational, community and policy-related influences.[14, 15] To date, no studies have taken a systematic approach to addressing these multi-levelled influences on implementation. We address this gap directly, drawing upon experience of the first two years of Scotland's national PrEP programme, to develop evidence-based and theoretically informed recommendations to enhance PrEP implementation. In parallel papers, using the same datasets (published elsewhere) we explore issues of PrEP uptake and initiation (Estcourt et al., in preparation) and adherence and retention (MacDonald et al., in preparation). Here we focus on PrEP awareness and access, the first critical steps towards extending the advantages of PrEP to all who may benefit.[16]

**Research questions** 

1. What were the key barriers and facilitators to PrEP awareness and access within Scotland's PrEP programme?

2. What evidence-based and theoretically informed recommendations to enhance PrEP awareness and access can be

made?

**METHODS** 

Overview

Increasing awareness and access to PrEP requires consideration of settings, contexts, participants, actions and

targets.[17] The breadth of these considerations requires a comprehensive methodological approach making use of

a range of analytic techniques and explanatory frameworks.[18] We conducted a multi-perspectival qualitative

study, using semi-structured telephone interviews and in-person focus groups with geographically and

demographically diverse participants.

We used thematic analysis as a starting point then applied implementation science tools to systematically develop

recommendations for enhancing implementation.

The four tools were; the theoretical domains framework (TDF),[19] the behaviour change wheel (BCW),[20] the

behaviour change technique taxonomy (BCTT),[21] and the APEASE (Acceptability, Practicability, Effectiveness,

Affordability, Side-effects, and Equity) criteria.[20] We also use Bronfrenbrenner's Socio-ecological model [22] as an

explanatory model (see Figure 1).

[FIGURE 1 HERE]

Context

Scotland was one of first countries worldwide to implement a national PrEP programme.[23] The programme was

implemented in specialist sexual health services and was made available to Scottish residents who met HIV risk-

based eligibility criteria.[23] All clinical care and medication were provided free of charge in concordance with all

NHS delivered sexual health care in Scotland. The program was successful in reducing HIV incidence,[24] but has primarily served gay, bisexual and other men who have sex with men (GBMSM) with less impact on other groups and has only identified a minority of individuals with 'potentially PrEP preventable' HIV infection.[25] There was no large-scale awareness intervention when the programme was launched to avoid overwhelming clinic-based services due to the anticipated high early demand for PrEP. Instead, awareness-raising occurred within clinics and via community-based organisations (CBO).[26]

**Data collection** 

Recruitment: We purposively sampled diverse key stakeholders across Scotland. HCPs offered sexual health clinic attenders the opportunity to take part in the study across the four urban Health Boards (large organisations within Scotland responsible for their population's health) in which over 90% of PrEP in Scotland is prescribed. CBO service users were invited to participate in the study via interactions with CBO staff. We recruited these CBO staff, others working within the same CBOs, and HCPs from all 14 Health Boards by email invitation to specific staff.

Data collection: All participants provided informed verbal or written consent immediately prior to the interviews and group discussions. We collected data with the aid of a guide that included open-ended questions designed to explore participants' experiences and perceptions of the implementation of PrEP. Where possible within the group discussions, dialogue between participants was encouraged rather than between facilitators and participants. All participants talked from their own and others' perspectives; data were taken at face value. Clinic attenders were offered a £30 shopping voucher as reimbursement for their time. Data collection was led by JM, with input from experienced qualitative researchers, PF, IY, and JF. JM, PF, IY, and JF reviewed and discussed early transcripts for quality assurance purposes. Interviews and group discussions were audio-recorded, transcribed verbatim, anonymised, and imported into NVivo.

Participants: In total, 117 participants took part in in-depth, semi-structured telephone interviews (n=71) or group discussions (n=46) between September 2018 and July 2019. The sample comprised: 39 clinic attenders; 54 healthcare professionals (HCPs); 9 CBO service users; and 15 CBO staff from across Scotland. Group discussions included one type of stakeholder only. All CBOs had an HIV prevention remit and served GBMSM, transgender, and Black African communities.

Clinic attenders were either using (n=23, 59%), stopping (n=6, 15%), declining (n=5, 13%), or assessed as ineligible for

(n=5, 13%), PrEP. Ages ranged from 20 to 72 years with just over half (n=21, 54%) aged between 25 and 34 years. All

self-identified as men, the majority of whom (n=34, 87%) were cisgender, and gay and bisexual men who have sex

with men (GBMSM). Almost all clinic attenders reported their ethnicity as 'White British' (n=31, 80%) or 'Other

White' (n=7, 18%). Most reported a university degree as their highest level of education (n=26, 67%) and that they

were currently working (n=34, 87%). The area of residence of clinic attenders reflected a mix of affluence and

deprivation.

HCPs were all involved in PrEP implementation and included specialist sexual health clinicians (doctors and nurses of

varying seniority and experience), health promotion officers, a midwife, and a clinical secretary with responsibility

for PrEP administration. They worked in a mix of rural (n=12, 22%), semi-rural / urban (n=8, 15%), or urban (n=34,

63%) settings. Demographic details of the CBO staff were not recorded and CBO service users were all of Black

African ethnicity, predominantly women, and not using PrEP.

**Patient and Public Involvement Statement** 

Public and patient involvement was central to the project and its iterative development, yet this was not limited to a

formal PPI workstream. The project developed from and was continuously shaped by collaborations between those

involved with making PrEP policy, community-based organisations working directly with PrEP users, clinicians and

researchers in the PrEP field. PrEP users were also part of the research team.

**Data analysis** 

Preliminary conceptual work:

Given the complexity and span of the potential ways in which PrEP awareness and access could be improved, it was

important to focus our main analyses where they were needed most (i.e., where future interventions could enhance

PrEP awareness and access). To achieve this, we developed a series of visualizations to conceptualise PrEP

awareness and access in terms of the sequential actors, actions, settings and processes involved. We drew on UK

policy documents relating to PrEP (see Figure 2 in supplementary files). A group of five experts with experience of

developing and implementing interventions to increase awareness and access within their professional/

organisational roles used the visualizations to help select priority areas which they felt were most important. These

predominantly focussed on actions to support the eventual PrEP user, and linked to CBOs and journeys to, and

through, NHS clinical settings.

Priority areas were: (1) Potential PrEP users acquiring knowledge of HIV and its transmission risks in addition to

acquiring knowledge of PrEP itself; (2) Potential PrEP users acquiring accurate perceptions of their PrEP candidacy;

(3) PrEP users discussing PrEP with others; (4) CBO staff raising issues of PrEP with key communities; (5) HCPs

acquiring knowledge of PrEP; (6) HCPs engaging potential PrEP users with PrEP; (7) Sexual health services providing

access to PrEP; and (8) Potential PrEP users accessing sexual health services and PrEP care therein.

These eight priority areas were then used to frame the analysis of barriers and facilitators to PrEP awareness and

access.

Barriers and facilitators to PrEP awareness and access (Research Question 1)

We conducted deductive thematic analysis.[27] Taking each of the eight priority areas separately and treating each

as the focus of an independent behavioural analysis we used relative frequency of barriers and facilitators and their

amenability to change as our measure of salience. Details of all salient barriers and facilitators are available within

supplementary file B. We then synthesised the salient barriers and facilitators within the socioecological model

(Figure 1). This work was led by PF and JM and validated with the wider team. This synthesis step was done to

manage the volume and multi-levelled complexity of the salient barriers and facilitators for ease of communication

with diverse audiences, and to emphasise the inter-dependencies between the individual salient barriers and

facilitators.

Creation of the recommendations (Research Question 2)

We initially used the TDF to theorise each salient barrier and facilitator (mapping their role as causal influences on

implementation across 14 theoretical domains). The TDF is a meta-theoretical tool, synthesising multiple attempts to

theorise causal influences on implementation behaviours.[19] Next, we used the BCW [20] to build on the TDF

analysis to systematically generate novel intervention elements. The BCW is another tool that brings a meta-

perspective to build new intervention content that is both theorised and matched to demonstrable need. As such we

used the BCW to suggest corresponding intervention content for the salient barriers and facilitators. These

intervention functions were described in further detail using the BCTT (a tool which allows behaviour change

intervention content to be explicated in the most granular way possible). JM led these analyses and generated initial

recommendations. These were double-checked for accuracy, validity, and credibility by PF. Both JM and PF were

trained in using the BCTT. Disagreements were reviewed until consensus was reached.

The initial recommendations were further scrutinized and sense-checked by team members with direct responsibility

for delivering and planning PrEP services using the APEASE criteria (Acceptability, Practicability, Effectiveness,

Affordability, Side-effects, and Equity) to remove, or adapt any recommendations that were not acceptable,

practicable, likely to be effective, likely to be affordable, have side effects or lead to inequity (APEASE criteria, Michie

et al., 2014).[20]

To assist with the volume and multi-levelled complexity of the results of the analyses, we synthesised the final

recommendations by de-duplicating and putting 'like with like' for ease of communication with diverse audiences,

and to emphasise the inter-dependencies between the recommendations. This stage was conducted by PF, JM and

CSE and checked by the wider team. This approach ensured there was an audit trail available for each of the

recommendations. Please see Supplementary file B for the detailed analyses.

**RESULTS** 

1. What were the key barriers and facilitators to PrEP awareness and access?

There was marked heterogeneity in the causal influences shaping PrEP awareness and access with dynamic interplay

between a range of upstream and downstream factors. Many findings related to both awareness and access (Table

10

1).

Table 1. An overview of key barriers and facilitators to increasing PrEP awareness and access from the deductive thematic analysis-with illustrative data extracts

Typical socioecological **Key facilitators** Key barriers model elements 3 The macrosocial level **Public Policy Enduring structural factors constrain** Political will enabled access to PrEP for many: awareness and access to PrEP (e.g., poverty, "It was a tiny bit chaotic, because the timescale racism). was not of my choosing [.....] and seemed to be Limited resource and capacity constrained be overtly political, because there was a real access to Prep: "even the simple fact of drive to make Scotland first." (HCP) releasing people from clinics to do any training was a challenge." (HCP) Sharing learning across diverse networks enabled awareness and access to PrEP "There's On-going intractable stigmas limited access ongoing dialogue between ourselves and our to PrEP (e.g., homophobia, negative sex attitudes) frontline workers, and the people who commission the service. (CBO staff working with Historic lack of sexual health education GBMSM) limited awareness and access to PrEP: "Because nothing like this was talked about at school. And it's cost lives, the long and the short of it, we've lost people due to ignorance, to lack of education." (PrEP user)

#### Organisational

Struggling to adapt sexual health promotion skills and experience can limit awareness and access to PrEP: "I think it's fair to say that the NHS and the third sector are going through a period of kind of cultural change in order to be able to accommodate this new element of messaging. I mean, the landscape has changed enormously." (CBO staff working with GBMSM)

Culturally uninformed services reduced access to PrEP for some under-served communities: "...it's a minefield for trans people." (CBO staff working with trans people)

Uninformed general health services limited access to PrEP: "My own GP at the time knew nothing about PrEP, absolutely nothing at all. So I kind of just gave up on that avenue and realised that the clinic was the best place to talk about it." (PrEP user)

Failure to use a wide range of settings to promote PrEP limited access (e.g., primary care, outreach, community settings)

Overstretched sexual health services limited access to PrEP: "PrEP is another thing to try and add into an already kind of lengthening

## The mesosocial level promotion Expanding PrEP services beyond sexual health

**future**: "for your more straightforward patients, is the ability for other services such as, I guess primary care, to do some of the follow up, and for us to maybe see them every year." (HCP)

services would increase access to PrEP in the

Culturally informed services increased access to PrEP for some under-served communities (e.g., those with visibly inclusive services and well trained staff)

Sharing service innovations across the sector increased access to PrEP (e.g., sharing protocols as they are developed)

The use of nurse prescribers in some sexual health services increased access to PrEP: "Cos nurse led services will win hands down. So if a service was planning this and had time, I would say get all your nurses to go through a... some kind of non-medical prescribing." (HCP)

Normalising PrEP interactions within sexual health services increased access: ""When we first started, I was having longer appointments and actually, after a few months, once I'd got to grips with that, we could see maybe a few more people in the PrEP clinic and just because we're

# consultation." (HCP) better now, we've got our spiel, we've got our way of doing it." (HCP) Decisions not to have a mass media intervention limited population-level better now, we've got our spiel, we've got our way of doing it." (HCP) The visibility of PrEP in dating Apps facilitated awareness and increased access: "I use some

intervention limited population-level awareness of PrEP: "we weren't out there shouting from the rooftops about PrEP, and we should have been." (CBO staff working with GBMSM)

Decisions not to have a mass media intervention limited awareness amongst those who could benefit (e.g., closeted gay men, trans people)

A lack of broad representation in PrEP materials limited awareness and access: "... it's not working for the African community, because the messages have to be strong and specific, the communities who can access PrEP. It's not just about gay men, and that's the way it's been. It needs to be a strong voice to say, it's for everybody." (CBO staff working with Black African communities)

The visibility of PrEP in dating Apps facilitated awareness and increased access: "I use some gay dating apps and a lot of people mentioned on their profile that they use PrEP, and at some point I kind of asked around and I found out that this is something that you could take to prevent you from getting HIV." (PrEP user)

Changing norms about PrEP within communities increased access (e.g., new norms about asking about PrEP use)

Peer influence in some communities increased awareness and access to PrEP (e.g., People talk with friends and networks about PrEP and increase access)

An understanding of the wider benefits of PrEP amongst HCPs increased access (e.g., HCPs appreciate longer term societal benefits of PrEP)

#### The microsocial level

Interpersonal

Community

Written materials about PrEP limited awareness and access: "The reading uptake among our people. Remember, if you go back to our history, we lived in oral history" (CBO staff working with Black African communities)

Changing communication norms limited awareness and access: "I mean, the best will in the world, nobody takes written information any more." (HCP)

Within some communities, social networks and norms enabled awareness and access: "I was aware of people that I've known that have had HIV and have had it for quite a long time and been on treatment and been almost survivors or such. So I knew there was a quite a lot of advancement." (PrEP user)

## Individual change

HCPs lack of confidence in identifying those who may benefit from PrEP limited access to

**PrEP:** "Women who are at risk of HIV are probably pretty difficult to identify. I'd say, particularly people who are in a relationship, they're very difficult to identify" (HCP)

Individual HIV risk perceptions limited access: "There was a part of me that thought, actually, this is an intervention that only people who are putting themselves at risk need, you know. Someone like me doesn't need it, because I'm not like that. But that's silly, of course, that was silly." (PrEP user)

Individuals increased PrEP awareness because of community dynamics: "I use some gay dating apps and a lot of people mentioned on their profile that they use PrEP, and at some point I kind of asked around and I found out that this is something that you could take to prevent you from getting HIV." (PrEP user)

<sup>\*</sup>interdependent and overlapping levels from macro-system to micro-system

Key barriers and facilitators were multi-levelled. At the macrosocial level facilitators included governmental will and

effective shared learning across networks. Barriers included structural issues such as poverty and racism, enduring

stigmas, the ongoing impacts of inadequate sexual health education. In turn, these macrosocial barriers and

facilitators shaped, and were shaped by mesosocial-level issues. For organisations, barriers included difficulties

adapting their existing skills and experience to PrEP delivery, the existence of services that lacked cultural

competence, not using the full range of available settings to promote PrEP, overstretched NHS and CBO services.

Facilitators included expanding professional roles within sexual health services such as involving nurse prescribers,

and normalising PrEP interactions within sexual health services. At the community-level, barriers included the limited

representation of communities and diversity within available materials focusing on PrEP, and the lack of mass media

and social media interventions to promote PrEP. Facilitators included dating apps increasing awareness of PrEP in

some communities and changing norms.

At the microsocial level barriers included health care professionals lacking confidence in identifying those who may

benefit from PrEP, the constrained modality of communicating about PrEP, (e.g., relying on leaflets), individual HIV

risk perception. Facilitators included the role of peer influence; the role of knowledge of wider benefits of PrEP

amongst health care professionals; the role of social networks; individual learning from peers within some

communities.

2. What evidence-based and theoretically informed recommendations to enhance PrEP awareness and access can

be made?

Recommendations derived from the barrier and facilitator analysis and application of APEASE criteria are shown

below. Table 2 highlights the macrosocial level; Table 3 highlights the mesosocial level; and Table 4 focusses upon

13

the microsocial level.

Table 2. Recommendations for improving PrEP awareness and access at the macrosocial level

Typical socioecological model elements	Those in decision-making roles/e.g.national leads/commisioners should:-	Those working within sexual health services should:-	Those working within community-based organisations should:-
Public Policy change	Use political will and momentum Create and harness political will and momentum to initiate and then maintain appropriately funded PrEP programmes over an appropriate time scale. Key stakeholders could/should? produce a business case detailing the health and economic benefits of PrEP implementation and the likely cost effectiveness of investing in awareness raising to increase access (13, 14, 63, 64).	Drive effective partnerships Enhance and maintain good connections across the HIV prevention and care sector and other specialist services - both statutory and community: consider joint roles, joint meetings, and creating formal and informal mechanisms to share data (5, 61).	<b>Drive effective partnerships</b> Expand and maintain connections with other organisations (both statutory and community). To increase access, actively encourage reciprocal referrals with non-HIV specialist organisations such as those working with addictions, mental health, and refugees (3,5).
	Maintain networks Maintain a network of national and local diverse organisations across health and social care and third sector. Focus on ways of sharing learning to enable optimal approaches to increasing awareness and expanding access across time (1,2,3,5, 13,49,70).  Ensure organisations work together Ensure funding mechanisms for commissioned PrEP work drive innovation		Share knowledge and Skills Champion and foster shared learning across diverse organisations about what has worked to enhance PrEP access and awareness: dedicate focussed meetings to lessons learned from working with diverse vulnerable populations (e.g., GBMSM, Trans, Black African, injecting drug using communities) (8,40, 61, 69).
	and incentivise partnerships between organisations. Commissioning briefs and service level agreements should explicitly incentivise effective partnerships (1,6).  Address new generations of PrEP users early Ensure age-appropriate and comprehensive sex and relationships education includes issues such as PrEP as HIV prevention (10) within a sex positive ethos which includes the sexual health, social, and cultural needs of all relevant local communities (e.g., Trans communities)		Support PrEP users Activate, support and facilitate the representation and involvement of diverse PrEP users and potential PrEP users within all aspects of policy development and subsequent policy changes: focus on recruiting diverse potential and actual PrEP users and provide opportunities for training and ongoing support for them (61).

<sup>\*</sup> Each recommendations represents an evidence-based and theoretically-informed way to enhance PrEP awareness and access. To stress their interconnectedness they are again presented within the socioecological framework to signal how each level of recommendation shapes the others. In order to aid with key people making practical use of our recommendations they are grouped according to the sector they are directed at (i.e., commissioners, sexual health service providers and CBOs). The numbers in brackets relate to the specific recommendations generated from the detailed analysis of each barrier and facilitator and can be cross-referenced within the supplementary files.

Table 3. Recommendations for improving PrEP awareness and access at the mesosocial level

Typical socioecological model elements	Those in decision-making roles e.g. national leads/commisioners should:-	Those working within sexual health services should:-	Those working within community-based organisations should:-
Organisational change	Establish monitoring systems: Create and maintain monitoring systems to assess the development of networks connecting diverse organisations and the effectiveness of their partnership working: develop and assess outcomes that reflect cross-sectorial work (71).  Incentivise improved organisational cultures: Develop a badging system that reflects and publicly shares the achievement and ongoing maintenance of inclusive, culturally competent services across the whole network of organisations involved in PrEP awareness and access (85).	Expand PrEP services off- and online: Develop and maintain range of service models and providers to make PrEP available in different settings meeting the needs of those from diverse cultural backgrounds (e.g., people who inject drugs) with different levels of health and service literacy. Each service model should incorporate pathways for people with non-complex PrEP needs and for those additional medical complexity. Settings could include sexual health services, primary care, online PrEP clinics, outreach clinics in community settings (74). Ensure nurse-led care and prescribing is expanded (66).  Cascade service innovations: Develop, maintain, monitor and share a range of innovations concerning awareness and access (e.g., protected spaces for women, nurse led pathways for noncomplex PrEP users). Consider paper-based or electronic checklists and flow charts to remind HCPs of PrEP processes, e.g., a protocolled approach to eligibility criteria including nuanced examples (19, 48, 62, 72, 74, 76, 78). Before scale up of service innovations, develop pilots, a range of peer support opportunities and shadowing opportunities for staff to learn how to engage with new systems, patient groups and collectively develop efficient consultations. Ensure a range of formal and informal opportunities are available to consistently cascade changes to PrEP guidance (18, 49, 67), e.g., developing scripts to succinctly and accurately discuss PrEP (68).  Establish monitoring systems: Develop, maintain and share monitoring systems to measure PrEP uptake, PrEP need, PrEP reach into KVPs, effective organisation learning and the routinisation of innovations (23, 71).	Establish monitoring systems: Develop and maintain monitoring systems to constantly enhance activities that drive effective partnerships across organisations and that support PrEP users and potential users. Ensure trustees, CEOs and all staff prioritise effective partnership work and that this is reflected within key performance indicators (71).  Cascade innovations: Encourage shared learning across CBOs and communities to build on success (e.g., activism and peer-influence amongst GBMSM) and transfer to other communities (e.g., people who inject drugs, transcommunities, Black African communities) (61).

inclusive understandings of sexual health and can achieve increases in wellbeing, reductions in inequalities, racism, heterosexism, transphobia and homophobia. These should be enshrined in the appearance of the services (off- and online, organisational values and mission statements). Ensure physical space, signage, and materials clearly show cultural and gender diversity and are explicit that PrEP is relevant to all individuals who could benefit, not only GBMSM. Organise dedicated time to share learning about what is and is not working well for underserved communities such as Trans men for example (33,43,47,86, 87,88,89).

### Community change

Establish and sustain multi-levelled awareness raising interventions Coordinate multi-modal, inclusive, awareness raising, PrEP-normalising, PrEP-positive interventions capable of deep reach adopting a 'needs-based' terminology. Examples include mass media/social marketing approaches co-produced with opinion leaders, clearly branded materials visibly endorsed by reputable organisations working in partnership. Materials should be hosted and shared though SHS, CBOs, and HIV/PrEP activists' websites and social media, posters in CBO, SHS, and other health settings, CBO outreach work, message blasts on dating apps and hook-up sites (31,32,35,41,58).

Awareness raising interventions should be complemented by peer-led interventions, particularly in relation to underserved communities (40, 44). Develop tools that facilitate shared learning HCPs, CBOs and communities should co-produce resources that address a range of HCP and CBO educational needs about awareness and access. Examples of culturally appropriate language and scripts to help HCPs assess and highlight HIV risk in culturally competent ways with diverse groups would be useful as well as details of how to appropriately motivate PrEP use (20, 27, 28, 34, 42, 44, 45, 68).

**Instigate and manage novel outreach** Working in partnership with SHS, CBOs should explore innovative ways of outreach (drop in, peer support) to sensitively engage key communities (e.g. Black African or Trans communities) (38, 55).

Co-create socially situated PrEP awareness raising materials CBOs should lead the co-creation of educational approaches that clearly situate PrEP within the socio-economic and cultural factors of PrEP users lives. These approaches should normalise sexual health and SHS attendance. They must be disseminated broadly, making use of a wide variety of settings and modalities. Examples could include flyers, posters, short videos, interactional workshops at diverse community venues, drop-in information sessions, peer-led support groups. Materials should be distributed in non-sexual health-specific health services (4,12,22,36,39,52, 69,81).

**Co-create socially situated PrEP awareness raising materials** Ensure dedicated materials also address potential PrEP users' understanding of what to expect within a service offering PrEP and a potential walk through of a typical patient pathway (82).

<sup>\*</sup> Each recommendations represents an evidence-based and theoretically-informed way to enhance PrEP awareness and access. To stress their interconnectedness they are again presented within the socioecological framework to signal how each level of recommendation shapes the others. In order to aid with key people making practical use of our recommendations they are grouped according to the sector they are directed at (i.e., commissioners, sexual health service providers and CBOs). The numbers in brackets relate to the specific recommendations generated from the detailed analysis of each barrier and facilitator and can be cross-referenced within the supplementary files.

Table 4. Recommendations for improving PrEP awareness and access at the microsical level

Typical socioecological model elements	Those in decision-making roles/e.g.national leads/commisioners should:-	Those working within sexual health services should:-	Those working within community-based organisations should:-
Interpersonal change	Incentivise a range of educational initiatives This should be achieved through consistently and widely available forms of PrEP training including inter-disciplinary online PrEP learning resources around awareness and access. aligned with professional development, for example, how to enhance cultural competencies to enable work with diverse communities, key scripts for sensitive conversations and elements of care (16, 34).	formal and informal opportunities for HCPs to	Education and outreach CBO staff develop, support and deliver sustained culturally appropriate programmatic educational work (e.g. webinars, interactional workshops at diverse community venues, social media, peer-led initiatives) engaging in outreach work within diverse settings which are used by potential PrEP users and directing people to reputable sources of information (32, 37, 38).  Generate peer-focussed capacity Initiate, manage and support peer-led PrEP awareness-raising and normalising interventions for underserved communities (e.g., facilitating and supporting PrEP champions to deliver peer advocacy and share personal testimonies within their networks) (32, 40, 44, 55).
Individual change		Become a PrEP champion Make sure that within SHS, specific staff are identified as PrEP champions and that they lead with SHS-CBO partnerships, working together at reviewing and sharing uptake data and making responsive service improvements to extend the reach of PrEP and make sure SHS are a safe space for all (23, 55).	Perceive your PrEP needs Ensure all PrEP resources enable individuals to recognise the applicability of PrEP to their own life circumstances (50).

<sup>\*</sup> Each recommendations represents an evidence-based and theoretically-informed way to enhance PrEP awareness and access. To stress their interconnectedness they are again presented within the socioecological framework to signal how each level of recommendation shapes the others. In order to aid with key people making practical use of our recommendations they are grouped according to the sector they are directed at (i.e., commissioners, sexual health service providers and CBOs). The numbers in brackets relate to the specific recommendations generated from the detailed analysis of each barrier and facilitator and can be cross-referenced within the supplementary files.

At the macrosocial level, political will and momentum should be harnessed to drive the development and maintenance of networks of stakeholders across diverse health and social care organisations. These networks should include a broad range of statutory, and community-based organisations to facilitate exchange of skills, lessonslearned and data sharing. Program commissioners should forge effective partnerships across this network (e.g., partnerships between sexual health and addiction services) and encourage joint posts, initiatives and regular meetings. CBOs working with a broad range of communities should ensure initiatives are in place to support PrEP users to take up policy development roles, ensuring those affected by PrEP are well placed to help shape all key decisions. In relation to future generations, government should ensure that young people receive comprehensive relationship and sex education that incorporates PrEP and addresses the specific needs of particular communities (e.g., trans people, people of Black African ethnicity). At the mesosocial level, we recommend establishing monitoring systems that regularly appraise progress in relation to awareness and access. For those in major decisionmaking roles (e.g., government) such systems should appraise the effectiveness of the cross-sector networks and the partnerships within them; for sexual health services, monitoring systems should include measures of PrEP uptake and reach into communities who could further benefit from PrEP; for those in community organisations, assessment could include the added value of novel partnerships with organisations such as those which traditionally focus on mental health or poverty.

The recommendations at the mesosocial level also suggest that PrEP services are expanded to include provision in other settings e.g. primary care, or community outreach services Critically, across sexual health services and CBOs, effective innovations that increase awareness and access should be formally shared. This means there should be clear processes in place to disseminate any lessons learned about what works well, or what to avoid (e.g., transferring insights into harnessing peer influence between communities).

Both formal and informal opportunities should be provided for peer learning (e.g., shadowing, or the use of protocols and scripts to share good practice). We recommend that organisational cultures are enhanced to ensure that they enable access and that these cultures, once established are actively maintained (and monitored). The generation of such cultures should be driven by stakeholder engagement and the use of data and active monitoring. A system akin to the 'Investors in people' accreditation standards [28] could also be co-produced across the sector.

This would benchmark services and assure all service-users that holistic and inclusive understandings of sexual

health and wellbeing are central and valued within organisations.

We also recommend that diverse, multi-level, awareness-raising interventions should be established and sustained.

These interventions should be co-created with the different communities with which they seek to engage. CBOs can

play a particular role in making HIV and PrEP more salient in the lives and priorities of communities who could

further benefit from PrEP (e.g. drug using communities). Furthermore, coproduction approaches across the sector

(community representatives, CBO staff and HCPs) should also provide tools for sexual health services and other

providers in the form of culturally appropriate scripts and wider resources. We also recommend that CBOs are

encouraged to instigate, develop and evaluate novel outreach (e.g., for Black African communities or trans

communities).

At the microsocial level many of the recommendations detailed above should be operationalised through PrEP-

focussed training. This should include sector-wide provision of peer-learning and support for diverse professionals.

Complementing this work with professionals, we also recommend focussed activities to normalise PrEP and increase

awareness within the diverse communities who could benefit from PrEP. This could involve CBOs facilitating and

supporting peer advocacy within networks of minoritized groups who are under-served in relation to PrEP. At the

individual level, staff from sexual health services or CBOs should become 'PrEP champions' with a duty to maintain

and demonstrate PrEP-positive organisational culture.

Finally, there is a need to ensure that all PrEP resources systematically enable individuals to recognise the

applicability of PrEP to their particular life circumstances.

**DISCUSSION** 

**Main findings** 

This work is the first to address a significant evidence gap regarding exactly *how* to improve awareness of and access

to PrEP. Awareness and access are fundamental to effective use of PrEP for HIV prevention as they lead to uptake

and adherence. Our findings suggest that improved awareness and access needs concerted action across multiple, interdependent and overlapping macro-social, meso-social and micro-social levels involving both healthcare and community systems. It involves the co-ordination of multiple institutions, organisations, communities, teams and individual professionals, patients and the public. The simultaneous cascading of multiple and multi-levelled interdependent changes stands a far higher chance of eliciting sustainable change than any single isolated innovation.[29] Complex and adaptive systems such as health and social care are highly resistant to long term change. To achieve a tipping point within such systems, and to enable the desired emergent effects of genuine changes to awareness and access, targeted and multi-levelled interventions are required. Our analyses suggest where these recommended intervention are needed most and key content. There is increasing recognition of the need for this kind of 'whole-system' intervention within public health along with a growing awareness of the concomitant challenges of their implementation.[30] Our findings contribute to the field by specifying practical and accessible recommendations to change the whole system, using novel and auditable methods.

Findings in context

There has been a long-standing acknowledgement of the challenges of increasing awareness and access to PrEP.[31-34] The barriers and facilitators to PrEP awareness and access we found were multifaceted and systemic. Problems at the policy-level were also manifested at the organisational, community, interpersonal and individual levels. Political will and cross-sector activism were vital to kick-starting the national programme, but they did so against a background of long-standing and seemingly intractable social injustice (e.g., homophobia, structural racism, sex-related stigma). This tension between biomedical promise and innovation (i.e., 'a pill to prevent HIV'), and the complexity of the social systems in which it must gain traction, sits at the heart of PrEP's role in elimination of HIV transmission. This tension patterns many of our findings and addressing it is key to effective PrEP implementation.[3, 4] At the organisational level, the widespread lack of additional resource to fund the implementation of the PrEP programme, heightened anxieties about already overstretched sexual health services. Key facilitators included the re-organisation of existing resource (e.g., use of nurse prescribing) and the normalisation of PrEP interactions over time (getting over the main innovation hump). Fundamental barriers included a lack of diverse settings in which to access PrEP and services lacking cultural competency. The latter very effectively demonstrated the complex interplay

across the macrosocial, mesosocial and microsocial levels and the overarching role of such macrosocial drivers such as historic lack of sexual health education at the policy level. We have previously noted that building cultural competency as a component of improving sexual health literacy is a requirement for sustainable and equitable implementation of sexual healthcare and prevention.[35] At the community level, our findings highlight how attempts to increase awareness and access to PrEP were influenced by long-standing health inequalities. There is a growing literature highlighting this as a key issue.[3, 36-39] In Scotland the decision not to include an early mass media intervention to promote awareness (to buffer services from a surge of people seeking PrEP) meant that existing inequalities were amplified. Prior to PrEP becoming freely available to those who could benefit, some people (e.g., some gay communities) already had the connections to be reached by community norms promoting PrEP, and others did not.[26] Together, the extent and variety of these findings suggest the need for future interventions to enhance PrEP awareness and access to be wide ranging, co-ordinated and multi-levelled.

This work moves the field forwards with evidence-based recommendations grounded within what has been learned from Scotland and detailing suggestions of what is likely to be useful elsewhere. Political will and momentum should be harnessed to maintain a network of stakeholders and drive and incentivise partnerships. In turn, in relation to awareness and access, organisations must put monitoring systems in place, to evaluate their on-going efforts, learn more about themselves, each other, and their interactions and actively share what they are learning. In addition, ambitious multi-levelled awareness raising interventions and approaches need to be co-created with the diverse communities they seek to engage, recognising the role of expertise from across communities and CBOs in addition to specialist clinical and academic knowledge. In parallel, co-created accreditation systems could help e.g. to assure potential service users of organisational cultural-competence.

Strengths and limitations

To our knowledge this is the first study which systematically examines and explores findings from the initial stages of a nationally implemented PrEP programme. Our approach illustrates exactly how it is possible to go further than earlier conceptualisations of PrEP implementation, (e.g.,[11-13]) and use multi-perspectival qualitative data, and multiple analytic tools (e.g., TDF, BCTT, socioecological model) to generate evidence-based and theoretically informed recommendations to enhance PrEP awareness and access to PrEP.

Our systematic approach has given us confidence in our findings which would have been difficult to achieve had we

generated our recommendations through other approaches commonly used in recommendation development,

meta-synthesis of implementation studies, expert opinion alone, sole reliance on public and patient involvement, or

interpreting barriers and facilitators and making 'common-sense' judgements about what needs to change.

Our systematic approach reiterates and specifies key lessons-learned from the Scottish roll out of PrEP but also

details novel content via the application of our implementation science tools. Our recommendations are firstly

determined by granular analyses of the qualitative implementation insights (e.g., akin to those delivered by typical

thematic analyses). Secondly, our recommendations stem from our systematic theorisation (i.e., using the TDF) of

the barriers and facilitators of prior attempts to deliver to awareness and access. Finally, capitalising on our use of

theory, we have then been able to systematically generate recommendations for future awareness raising and

access promoting activities using the BCW and the BCTT. All recommendations are auditable in terms of examining

which intervention components they stemmed from (i.e., 'intervention functions', 'BCTs'), how they were theorised

(i.e., using the TDF) and which specific barriers and facilitators they relate to. Critically, all are drawn from our

participant voices. Other strengths include our commitment to stakeholder engagement through the use of multi-

perspectival work, expert opinion and wider stakeholder involvement.

Limitations include our reliance on qualitative data alone; our assessment of the relative importance to key barriers

and facilitators was not triangulated with quantitative data collected across nationally representative samples of

PrEP users, HCPs and CBOs. We acknowledge that data are from a single nation, which had a very specific model of

PrEP delivery in which critically, PrEP was free for all service users. The study was conducted in the first two years of

programme roll out and experiences will change as the programme matures. The lack of diversity in the

demographic characteristics of participants, in particular a preponderance of white, cis-gendered GBMSM, also limits

transferability to different settings, given the observed link between PrEP awareness and access and existing health

inequalities.

Implications for policy and practice

This systematic generation of recommendations from the Scottish experience has most relevance within the UK,

Europe and Australia but these recommendations will also be relevant in other countries with similar health care

systems and communities affected by HIV. Our recommendations may be used as direct tools to structure focussed-

service planning maximising PrEP awareness and access. For example, using relevant, national and local

stakeholders, either the synthesised recommendations, or the more granular recommendations presented in the

Supplementary files, could be used to structure discussion and plan implementation effectively, translating our

recommendations to the local context.

However, as our work with the socioecological framework shows, a multi-layered and multi-agent approach is

prerequisite to enhancing PrEP awareness and access. This is because reinforcing positive feedback loops (i.e., where

harnessing change to one aspect within a system reinforces change elsewhere) are likely to be generated between

and across the individual recommendations, leading to systems wide change.[29] Future research is needed to

evaluate the full impact of the recommendations we present within this paper and others (Estcourt et al., in

preparation; MacDonald et al., in preparation). Together they represent a highly complex intervention [40]

amenable to both process and outcome evaluation through a natural experimental design.

The use of HIV medication to prevent HIV acquisition has been described as a "game-changer". Our work provides

detailed analysis, based in lived experience, on how this can be implemented more effectively in future. Each step

towards better PrEP implementation will be a step towards making HIV transmission a rarer event, and preventing

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the costly consequences of HIV infection.

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The investigators named have no financial interests that impact on their responsibilities towards the scientific value

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**Data Sharing Statement** 

No data from this qualitative data set is publicly available. Supplementary file B provides an auditable account of

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**Author Contributions** 

All authors contributed to the conception and design of the studies, interpretation of findings, revision of the

manuscript and approved the final version. Specific additional contributions are as follows: PF was co-investigator

and led the qualitative design and behavioural science. PF wrote the initial draft of the manuscript. JM led the study

day to day and undertook all research activities including data collection and analysis under the supervision of PF

and CSE. JS, RN, DC, NS and CSE provided expert clinical interpretation. IY and JF contributed to data collection and

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research.

**Ethical considerations** 

The study received ethical approval from the Glasgow Caledonian University Research Ethics Committee (REC)

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#### **Legends for Figures**

Figure 1 - Inter-dependent, multi-levelled, dynamic upstream and downstream determinants of PrEP awareness and access (using the socioecological model\*)

CBO=community based organisation, HCP=sexual health care professional, NHS=national health service

Figure 1 shows the interdependent, multi-levelled determinants of PrEP awareness and access. This recognises that many drivers of health operate far beyond the individual. Critically, causal influence ripples both down-stream and upstream. Equally the same causal influences are felt differentially and simultaneously across multiple levels.

<sup>\*</sup>Adapted from Bronfenbrenner, U. (1979). The ecology of human development: Experiments by nature and design. Harvard University Press [22].

